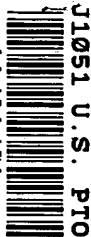


11/21/01



U.S. PTO

CONTINUING APPLICATION TRANSMITTAL UNDER RULE 1.53(b)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No. 004372
ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
1050 Connecticut Avenue, N.W., Suite 400
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Telephone: (202) 857-6000
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Docket No. 109904-00067
Date: November 21, 2001



U.S. PTO

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a

☐ Continuation ☒ Divisional ☐ Continuation-in-Part

application of prior pending Application No. 09/320,069, filed May 26, 1999.

For (Title): METHOD FOR SCREENING AND PRODUCING COMPOUND LIBRARIES

By (Inventors): George M. GRASS, Glen D. LEESMAN, Montana HAMILTON, Daniel A. NORRIS, Patrick J. SINKO, John E. WEHRLI

1. ☒ A Declaration and Power of Attorney is attached. The attached Declaration and Power of Attorney is:
- ☒ a. A copy of the Declaration and Power of Attorney from the parent application. (Used with the same or fewer inventors and (a) a copy of the prior application or (b) a revised, reformatted or edited version of the prior application that does not contain new matter.)
- ☐ b. A new Declaration and Power of Attorney. (Used with the same, fewer or additional inventors and (a) a copy of the prior application, (b) a revised, reformatted or edited version of the prior application that does not contain new matter, or (c) a new specification.)
2. ☒ The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF
ANY PRELIMINARY AMENDMENT NOTED BELOW

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	17 - 20	= 0
INDEP CLAIMS	3 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

* If the difference is less than zero, enter "0".

SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
RATE	FEE		RATE	FEE
	\$ 370	OR		\$ 740
x 9 =	\$	OR	x 18	\$
x 42 =	\$	OR	x 84	\$
+140 =	\$	OR	+280	\$
TOTAL	\$	OR	TOTAL	\$ 740

3. ☒ A Check in the amount of \$740.00 to cover the filing fee is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 01-2300.
4. ☐ Cancel claims _____ of the application before calculating the filing fee. At least one independent claim is retained for filing purposes.
5. ☒ Amend the specification by inserting before the first line the sentence:

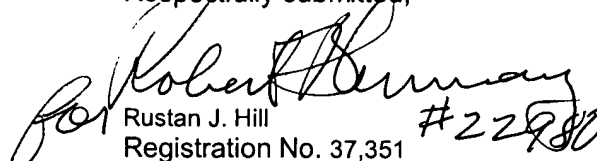
--This is a ☐ Continuation ☒ Division ☐ Continuation-in-Part of Application No. 09/320,069 filed 5/26/1999. The disclosure of the prior application(s) is hereby incorporated by reference herein in its entirety.--

6. ☒ Formal drawings (Figs. 1-55) are attached.

7. ☐ Priority of foreign application No. _____ filed _____ in _____ is claimed under 35 U.S.C. §119 and/or §365(b).
8. ☐ The certified copy was filed in prior Application No. _____ on _____.
9. ☐ A certified copy of the above foreign application(s) is attached.
10. ☒ Priority of U.S. Provisional Applications Nos. 60/100,290 filed 9/14/1998; 60/109,232 filed 11/18/1998; 60/100,224 filed 9/14/1998; and 60/109,234 filed 11/18/1998 is claimed under 35 U.S.C. §119(e).
11. ☐ Amend the specification by inserting before the first line the sentence:
--This nonprovisional application claims the benefit of U.S. Provisional Application(s) No. _____ filed _____.--
12. ☒ The prior application is assigned of record to Lion Bioscience AG recorded at Reel _____, Frame _____ on September 13, 2001. A copy of the Assignment is attached.
13. ☐ This application is filed by fewer than all the inventors named in the prior application (37 C.F.R §1.53(b)(1)). Delete the following inventor(s) named in the prior application:
14. ☐ A Preliminary Amendment is attached.
15. ☒ An Information Disclosure Statement is attached along with Form PTO-1449 and 0 references.
16. ☒ Small entity status:
☐ a. A small entity statement is attached.
☐ b. A small entity statement was filed in the parent application and such status is still proper and desired.
☒ c. Small entity status is no longer claimed.
17. ☒ Other: Revocation of Power of Attorney and New Appointment is attached.
18. ☒ The Power of Attorney in the application is to Robert B. Murray, Reg. No. 22,980; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Richard J. Berman, Reg. No. 39,107; Murat Ozgu, Reg. No. 44,275; Robert K. Carpenter, Reg. No. 34,794; Gregory B. Kang, Reg. No. 45,273; Rustan Hill, Reg. No. 37,351; Hans J. Crosby, Reg. No. 44,634; Brian A. Tollefson, Reg. No. 46,338; David D. Dzara, Reg. No. 47,543; Lynne D. Anderson, Reg. No. 46,412; Michael A. Steinberg, Reg. No. 43,160 and Lynn A. Bristol, Reg. No. 48,898.
19. ☒ Address all future communications to:

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Respectfully submitted,


Rustan J. Hill
Registration No. 37,351 #22980

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RJH/mzk

Device No.	Device Name	Application No.	Priority Date	Publication Date	Inventor	Status
NAVI 001/00	T-0043-US-89	Apparatus for in vitro Determination of Substances across Membranes, Biological Tissues	US 1989/354479	19.05.89		abandoned
NAVI 001/01	T-0043-US-91	Apparatus for in vitro Determination of Substances across Membranes, Biological Tissues and Cell Cultures	US 5183760	12.04.91	02.02.93	granted
NAVI 002/00	T-0044-US-93	Device and Method for Circulating Fluid over a Membrane	APPLICATION NO: US 1993/138653	18.10.93		abandoned
NAVI 002/01	T-0044-US-95	Device and Method for Circulating Fluid over a Membrane	US 5599688	24.07.95	04.02.97	granted
NAVI 003/00	T-0045-US-93	Membrane Holder	APPLICATION NO: US 1993/138903	18.10.93		abandoned
NAVI 003/01	T-0045-US-95	Membrane Holder	US 5591636	24.07.95	07.01.97	granted
NAVI 004/00	T-0046-US-98	Packing Device for Transporting Confluent Cell Monolayers	APPLICATION NO: US 1998/100342	14.09.98		inactive - converted to regular
NAVI 004/01	T-0046-US-99	Packing Device for Transporting Confluent Cell Monolayers	US 6146883	26.05.99	14.11.00	granted
NAVI 004/01	T-0046-WO-99	Packing Device for Transporting Confluent Cell Monolayers	WO 00/15762 A1	14.09.99	23.03.00	all prerequisites for entering the europ. phase fulfilled abandoned (?)
	T-0046-AU-99	Packing Device for Transporting Confluent Cell Monolayers	AU 9961443 A1	14.09.99	03.04.00	Grass, George M.
NAVI 009/00	T-0047-US-00-98	Method for Screening and Producing Compound Libraries	APPLICATION NO: US 1998/100290	14.09.98		inactive - converted to regular
NAVI 009/01	T-0047-US-01-98	Method for Screening and Producing Compound Libraries	APPLICATION NO: US 1998/109232	18.11.98		inactive - converted to regular
NAVI 009/02	T-0047-US-00-99	Method for Screening and Producing Compound Libraries	APPLICATION NO: US 1999/320069	26.05.99		pending
NAVI 010/00	T-0047-US-02-98	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 1998/100224	14.09.98		inactive - converted to regular
NAVI 010/01	T-0047-US-03-98	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 1998/109234	18.11.98		inactive - converted to regular
NAVI 010/02	T-0047-US-01-99	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 1999/320372	26.05.99		pending
NAVI 010/03	T-0047-US-02-99	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 1999/320270	26.05.99		pending

TREKA PATENT LIST

26.01.2001

Doc. No.	Patent No.	Title	App. No.	Filing Date	Pub. Date	Inventors	Status
NAVI 010/04	T-0047-US-03-99	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 1999/320371	26.05.99			pending
NAVI 010/05	T-0047-US-04-99	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 1999/320545	26.05.99			pending
NAVI 010/06	T-0047-US-05-99	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 1999/320544	26.05.99			pending
NAVI 010/07	T-0047-US-00-00	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 2000/221548	28.07.00			pending
NAVI 010/08	T-0047-US-01-00	Pharmacokinetic - Based Drug Design Tool and Method	APPLICATION NO: US 2000/224106	27.10.00			pending
NAVI 009/02	T-0047-WO-00-99	Method for Screening and Producing Compound Libraries	WO 00/16231 A1	14.09.99	23.03.00	Grass, George M. Leesman, Glen D. Norris, Daniel A. Sinko, Patrick J. Wehrli, John E.	all prerequisites for entering the europ phase fulfilled
NAVI 010/03	T-0047-WO-01-99	Pharmacokinetic - Based Drug Design Tool and Method	WO 00/15178 A2	14.09.99	23.03.00	Grass, George M. Leesman, Glen D. Norris, Daniel A. Sinko, Patrick J. Wehrli, John E.	all prerequisites for entering the europ phase fulfilled
	T-0047-AU-00-99	Method for Screening and Producing Compound Libraries	AU 9961451 A1	14.09.99	03.04.00	Grass, George M. Leesman, Glen D. Norris, Daniel A. Sinko, Patrick J. Wehrli, John E.	
	T-0047-AU-01-99	Pharmacokinetic - Based Drug Design Tool and Method	AU 9962474 A1	14.09.99	03.04.00	Grass, George M. Leesman, Glen D. Norris, Daniel A. Sinko, Patrick J. Wehrli, John E.	

Attorney Docket No.: 109904-06001
Date: September 13, 2001

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

NaviCyte, Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Lion Bioscience AG

Address: Heidelberg, Germany

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other
Execution Date: 6/6/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

SEE ATTACHED LIST

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arent Fox Kintner Plotkin & Kahn PLLC

Street Address: 1050 Connecticut Avenue, N.W., Suite 600

Washington, D.C. 20036-5339

6. Total number of applications and patents involved:

11

7. Total fee (37 CFR 3.41)..... \$440

☒ Enclosed-Check No.:
☐ Authorized to be charged to deposit account

8. Deposit account number: 01-2300
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert B. Murray

Name of Person Signing

Reg. No. 22,980


Signature

September 13, 2001
Date

Total number of pages including cover sheet, attachments, and document: 5